PPFAS Mutual Fund

Systematic Withdrawal Plan (SWP) Form



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TERMS & CONDITIONS / INSTRUCTIONS FOR SWP

- 1. Systematic Withdrawal Plan (SWP) is available to investors in the Scheme(s) of PPFAS Mutual Fund. The SWP Facility is available only for units held / to be held in Non demat Mode in the Transferor and the Transferee Scheme.
- 2. This enrollment form should be completed in **ENGLISH** and in **BLOCK LETTERS** only. Please tick in the appropriate box for relevant options wherever applicable. Please do not overwrite. For any correction / changes (if any) made on the application form, the sole/all applicants are requested to authenticate the same by canceling and re-writing the correct details and counter-signing the same. This enrollment form, complete in all respects, should be submitted at any of the Official Points of Acceptance of PPFAS Mutual Fund. Incomplete enrollment form is liable to be rejected.
- 3. Unitholders are advised to read the Scheme information Document of the respective Scheme(s) and Statement of Additional Information carefully.
- 4. New Investors who wish to enroll for SWP are required to fill the SWP enrollment form along with the Scheme Application Form. Existing unit holders should provide their Folio Number. Unitholders' details and mode of holding (single, jointly, anyone or survivor) will be as per the existing folio number details and would prevail over any conflicting information furnished in this form. Unitholders name should match with the details in the existing folio, failing which this application form is liable to be rejected.
- 5. Unitholders must use separate 'SWP' enrollment forms for different Schemes/Plans/Options.
- 6. Exit Load: In respect of amount withdrawn under SWP, the Exit Load, if any, applicable to the Scheme/Plan as on the date of allotment of units shall be levied.
- 7. Unit holder can avail of this facility by choosing date of his/her preference as given in the SWP form. In case the chosen date falls on a holiday or during a Book Closure period the immediate next Business Day will be deemed as the SWP withdrawal date. In case no date is mentioned 10th will be considered as the Default Date. The amount withdrawn (subject to deduction of tax at source, if any) under SWP by Redemption shall be converted into the specific Scheme / Plan Units at the NAV based prices as on the SWP withdrawal date of month, as applicable, and such Units will be subtracted from the Unit Balance of the Unit holders.

8. SWP Plan:

- I. SWP Plan is available for Growth and Dividend Option.
- II. Unitholders under the Fixed Plan can redeem (subject to completion of lock-in/ pledge period, if any), under each Scheme / Plan / Option a minimum of Rs. 1000/- and in multiples of Rs. 1/- thereafter.
- III. Commencement date for SWP is the date from which the first withdrawal will commence.
- IV. The amount withdrawn under SWP by Redemption shall be converted into the specific Scheme/Plan Units at the NAV based prices as on the SWP withdrawal date and such Units will be subtracted from the Unit Balance of the Unitholders. In case these dates fall on a holiday or fall during a Book Closure period, the next Business Day will be considered for this purpose. If there is inadequate balance on the SWP date, the SWP will be processed for the balance units and SWP will continue. If there is nil balance on the SWP date, the SWP will be automatically terminated and there will not be any further trigger.

If you decide to opt for this facility, you should be aware of the possibility that you could erode your capital.

Example: If the Unitholder decides to withdraw Rs. 1,000 every month and the appreciation is Rs. 100, then such redemption proceeds will comprise of Rs. 100 from the capital appreciation and Rs. 900 from the Unitholder's capital amount.

9. PPFAS Mutual Fund / PPFAS Asset Management Private Limited reserves the right to change / modify the terms and conditions of SWP.