



Quantum Gold Fund
(An Open-ended Exchange Traded Fund -Gold)
Quantum Nifty ETF
(An Open-ended Exchange Traded Fund)

COMMON APPLICATION FORM FOR ETF

India's 1st Direct to Investor Mutual Fund

505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400021. www.QuantumMF.com

(Continuous Offer of units at Applicable NAV)

Application No: **QMFP**

INTERMEDIARY INFORMATION		FOR OFFICE USE ONLY	
Name & ARN Code	Sub-Broker Code	EUIV	E- Code
9992			

Please refer instruction No.5 for EUIV.

Please read the instructions carefully, before filling up the application. Kindly use this form if you are making a one time investment. Investor should consult their financial advisers if in doubt whether the product is suitable for them.

(All sections to be filled in English and in BLOCK LETTERS). Fields marked with (*) are mandatory.

2 * MANDATORY PAN (Refer Instruction No.12) Please attach certified PAN copy			
1st Applicant /Guardian		3rd Applicant	
2nd Applicant		POA Holder	

3 * APPLICANT INFORMATION (Refer Instruction No. 3) (Fields marked with # are compulsory)

Please ensure that the name of the investor in the application form matches with the account held with the depository participant.

Name of Sole/ 1st Applicant	<input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s. <input type="checkbox"/> Others	Please Specify	Date of Birth/ Date of Incorporation
			DDMMYY
Proof of Date of Birth (In case of Minor)	<input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate <input type="checkbox"/> Passport <input type="checkbox"/> Others	Please Specify	
# Mobile No	# Email ID		
Parent/ Guardian Name of 1st Applicant - (in case of Minor)/Contact person (in case of non individual applicant)			Relationship with Minor/ Designation
Name of 2nd Applicant	<input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s.	Date of Birth	DDMMYY
Mobile No.	Email ID		
Name of 3rd Applicant	<input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s.	Date of Birth	DDMMYY
Mobile No.	Email ID		
Mode of Holding	<input type="checkbox"/> Single <input type="checkbox"/> Joint <input type="checkbox"/> Any one or survivor(s)(Default option in case of more than one applicant)		
# Legal Status Please (✓)	<input type="checkbox"/> Resident Individual <input type="checkbox"/> Minor <input type="checkbox"/> FII <input type="checkbox"/> Society/Club <input type="checkbox"/> AOP/BOI <input type="checkbox"/> LLP <input type="checkbox"/> HUF	<input type="checkbox"/> NRI/PIO Repatriation Basis <input type="checkbox"/> NRI/PIO Non-Repatriation Basis <input type="checkbox"/> Partnership Firm <input type="checkbox"/> Trust <input type="checkbox"/> Bank <input type="checkbox"/> Body Corporate	
	<input type="checkbox"/> Company <input type="checkbox"/> Others	Please Specify	
# Occupation Please (✓)	<input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector / Gov. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> House Wife <input type="checkbox"/> Student	<input type="checkbox"/> Politically Exposed Person <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Retired <input type="checkbox"/> Others	Please Specify
# Annual Income Please (✓)	<input type="checkbox"/> Upto 1Lac <input type="checkbox"/> 1 to 5 Lacs <input type="checkbox"/> 5 to 15 Lacs <input type="checkbox"/> 15 to 25 Lacs <input type="checkbox"/> 25 Lacs & above	Individuals (optional)	Networth as on date is ₹
		Non-Individuals (mandatory)	Networth as on date is ₹

Mailing Address of Sole/First Applicant (P.O. Box alone may not be sufficient) This address will be replaced with the address as per your KYC records on validation of your KYC data. Overseas Investor must provide Indian Address

City	State	Country	INDIA	Pin code
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Contact Details of Sole/ First Applicant

Tel No - STD Code	Res.	Off.	Fax
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Overseas Address (mandatory for NRI/FII applicant). This address will be replaced with the address as per your KYC records on validation of your KYC data. Applications from investors residing in USA or Canada shall not be accepted

Address for correspondence (for NRI applicants)
 Indian Overseas

City	Country	Zip code
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4 POWER OF ATTORNEY (POA) (Refer Instruction Nos. 2(f) & 4)

POA Name Mr./Ms.	
Address	City
	Pin Code

If investment is being made by a Constitutional Attorney, please submit notarised copy of POA.

5 GO GREEN : Electronic Communication / Transactions (Refer Instruction No.6)

I/ We have read and understood the terms and conditions of Electronic Communication / Transactions, available in the common application form for transactions, etc for using the Quantum Mutual Fund website or any electronic / other medium (Facility) and agree to be bound and governed by the same on availing / using any Facility. / We authorize Quantum Mutual Fund, Quantum AMC, to issue Personal Identification Number (PIN) / Telephone PIN (TPIN) on my mailing address, registered email id / mobile number (even if I have registered my mobile number with the National Do Not Call Registry) as stated in section 3 above.

I / We would like to receive various communications / updates / alerts / notifications from Quantum Mutual Fund, Quantum AMC etc on my registered email id / mobile number (even if I have registered my mobile number with the National Do Not Call Registry) as stated in section 3 above.

Account Statement Other Statutory Information

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Application No: **QMFP**

Quantum Mutual Fund-505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400021. www.QuantumMF.com

Scan this code, and fill your Details. Our Representative will get in touch with you.



Date	DDMMYY	Received from: Mr. / Ms. / M/s	
an application for allotment Scheme			
vide Cheque No./RTGS/NEFT/IMPS Reference No.		Dated / /	
Amount (₹)			
Drawn on Bank and Branch			
Please note: All purchases are subject to realization of cheques (please refer Scheme Information Document)			

ACKNOWLEDGEMENT STAMP

6 * BANK ACCOUNT DETAILS (Refer Instruction No. 7)

A/c Type (please ✓)	SB	Current	NRO	NRE	FCNR	
Account No						
Bank Name						
Branch						
Branch Address						
City				Pin		
IFSC Code				MICR Code		

NO-PAYEE

PAY **QUANTUM MUTUAL FUND PANXXXXXXXXX** **DR BEARER**

RUPEES ₹

11 DIGIT IFSC Code: **IFSC 01MF654321**

9 DIGIT MICR Code: **"4153872" 265291538 123456" 23**

Preferred mode of payment Electronic Credit. RTGS / NEFT code will help us transfer the amount to your bank account quicker, electronically.
Please provide Bank A/c details linked with the demat account. In case of difference, bank details will be considered as per depository records.

7 * INVESTMENT DETAILS (Please ✓) (Refer Scheme Specific Instructions)

Quantum Gold Fund		Quantum Nifty ETF	
Portfolio Deposit^ <input type="checkbox"/>	Cash Subscription^ <input type="checkbox"/>	Portfolio Deposit^ <input type="checkbox"/>	Cash Subscription^ <input type="checkbox"/>
No. of units (in Figures): _____	(in Words): _____	No. of units (in Figures): _____	(in Words): _____
Gold Deposited / To be Deposited (kgs): _____		Delivery Instruction Copy Submitted	
Shipper: _____	(*Refer Scheme Specific Instructions point No. 4 & 8)	<input type="checkbox"/> Yes <input type="checkbox"/> Not Applicable	(*Refer Scheme Specific Instructions point No. 4 & 6)

8 PAYMENT DETAILS (Refer Instruction No. 8)

Mode of Payment Direct Credit RTGS / NEFT Transfer Cheque Fund transfer IMPS

RTGS/ NEFT/IMPS Ref. No. & Date: _____ Date

Cheque No. & Date: _____ Date

Total Amt (₹) _____

Bank /Branch & City _____

Account Type SB Current NRO NRE FCNR

9 SWITCH DETAILS To Quantum Gold Fund (Only during liquidity window period)

All Units : Partial Units:

From Scheme :

<input type="checkbox"/> Quantum Long Term Equity Fund	<input type="checkbox"/> Quantum Tax Saving Fund	<input type="checkbox"/> Quantum Liquid Fund
<input type="checkbox"/> Quantum Equity Fund of Funds	<input type="checkbox"/> Dividend Option	<input type="checkbox"/> Growth Option
<input type="checkbox"/> Growth Option	<input type="checkbox"/> Dividend Reinvestment Facility	<input type="checkbox"/> Daily Dividend Reinvestment Option
<input type="checkbox"/> Dividend Payout Facility	<input type="checkbox"/> Quantum Gold Savings Fund - Growth Option	<input type="checkbox"/> Monthly Dividend Payout Option
	<input type="checkbox"/> Quantum Multi Asset Fund - Growth Option	

10 REDEMPTION DETAILS (Please ✓)

Quantum Gold Fund		Quantum Nifty ETF	
Portfolio Deposit^ <input type="checkbox"/>	Cash Redemption^ <input type="checkbox"/>	Portfolio Deposit^ <input type="checkbox"/>	Cash Redemption^ <input type="checkbox"/>
Delivery Instruction Copy Submitted: <input type="checkbox"/> Yes	(*Refer Scheme Specific Instructions point No. 7 & 9)	Delivery Instruction Copy Submitted: <input type="checkbox"/> Yes	(*Refer Scheme Specific Instructions point No. 5 & 7)
No. of units (in figure) _____	No. of units (in words) _____		

11 NOMINATION

Since the Units of the Scheme will be issued in electronic (demat) mode, Nomination details provided to the DP shall be applicable.

12 DEMAT ACCOUNT DETAILS (Please ✓) (Please refer instruction no. 9) NSDL CDSL (Switch not allowed. Redemption Stock Exchange Platforms / Depository Participants only)

I would like to be allotted units in DEMAT mode. Yes No (Please ✓) (Non - ticking of this box would result in allotment of units in physical form).
Please ensure that the name of the investor in the application form matches with the account held with the depository participant.

NSDL / CDSL BENEFICIARY Account No. (NSDL Only) _____

Enclose for Demat Option: Client Master List Transaction / Holding Statement DS Copy

13 DOCUMENT ENCLOSED (Please ✓) Total No. of docs. _____ Resolution/ Authorisation to invest List of authorised signatories with specimen signatures

Memorandum & Articles of Association Trust Deed Declaration of Beneficial Ownership Bye-laws Partnership Deed Overseas Auditor Certificate Notarised POA

Copy of PAN Card KYC Compliance PIO Card Foreign Inward Remittance Certificate Trigger Form Minor related documents Proof of Address

14 SOURCE OF INFORMATION How did you come to know about Quantum Mutual Fund? Advertisement Friend/Relative Sales Team IFA / Intermediary

Name & ARN Code of Intermediary _____ Others _____

Investor Awareness: Please ✓ to acknowledge that you have been explained the following aspects of investing by Quantum Mutual Fund and / or its representative(s) / intermediary(s) and hereby confirm having understood the same before investing with Quantum Mutual Fund.

- Name of the Invested Scheme(s): _____
- I/We have asked, and have been explained to my/our satisfaction all the features of the scheme(s) that I/We have chosen to invest in and have understood all the Terms and Conditions of the said scheme(s).
 - I/We confirm that I/We have fully understood the Expense Ratios and Exit Loads pertaining to the scheme(s) and that exit loads will be calculated as per First in First out (FIFO) basis.
 - I/We confirm that I/We have fully understood Transaction norms such as cut off time for subscription / redemption/switch, Turnaround Times for processing of transactions etc.
 - I/We am/are aware of the Tax implications of my/our investment pertaining to the schemes of Quantum Mutual Fund.
 - I/We am/are also aware that investing in Mutual Fund schemes come with an inherent risk which I/We recognize, and I/ We have not been paid any incentive or have not been promised any assured returns while investing in this scheme(s). I/We also recognize the product label (color code), denoting the risk for the said scheme(s).
 - I/We am/are aware of my own risk appetite, my/our time horizon for investment, my/our objective for investment and the investment objective of the scheme(s) and the fit between the two at the time of undertaking the investment(s). I/We confirm that the Scheme(s) in which I/We am/are investing is appropriate for me / us keeping in mind the investment objective and risk of the scheme(s).
 - I/We am/are also aware of the Grievance Redressal and Dispute Resolution policies and procedure at Quantum Mutual Fund and am/are aware of whom to contact in case of any discrepancies.
 - I/We hereby declare that I/We have understood the nature of questions in the Application Form and the importance of disclosing all the material information required. I/We declare the facts disclosed in the application and the acknowledgement forms are true and correct to the best of my/our knowledge.

TO COMPLETE THE FORM, PLEASE SIGN IN THE APPROPRIATE BOX AT THE BOTTOM OF THE FOLLOWING PAGE.

Contact Us



WEBSITE

www.QuantumMF.com



TOLL FREE HELPLINE

1800 22 3863 / 1800 209 3863



EMAIL

CustomerCare@QuantumAMC.com



SMS

<Quantum> to 9243 22 3863

DECLARATION: I/We have read and understood the terms & contents of the Scheme Information Document(s) of the respective scheme(s) and Statement of Additional Information and Addenda of Quantum Mutual Fund thereto. I/We hereby apply to the Trustee of Quantum Mutual Fund for purchase/allotment of units of the scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We further declare, I am / we are authorized to invest the amount & that the amount invested by me/us in the above mentioned scheme is derived through legitimate sources and legally belong to me/us and not of any third party and is not held or designed for the purpose of contravention of any acts, rules, regulations or any statute or legislation or any other applicable laws or notifications, directions issued by the governmental or statutory authority in India or of the country where I/we for the time being reside from time to time. It is expressly understood that I/We have the express authority from our constitutional documents to invest in the units of the scheme and Quantum AMC/Trustee/Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the scheme, then Quantum Asset Management Ltd, Investment Manager to the Quantum Mutual Fund has full right to refund the excess to me/us to bring my/our investment below 25%. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investments. I/We hereby authorize Quantum Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) / Quantum Mutual Fund's bank(s) or to any authority / agency, statutory or otherwise. I/We authorize this Fund to reject the application, revert the units credited/redeem units created at applicable NAV (less exit load, if any), restrain me/us from making any further investment in any of the schemes of the fund, recover/debit my/our folio(s) with the penal interest and take any appropriate action against me/us in case the cheque(s)/ payment instrument is/are returned by my/our banker for any reason whatsoever. I/We undertake that these investments are my/our own and acknowledge that Quantum AMC reserves the right to call for such other additional information/ documents as required to comply with KYC norms. I/We understand that and further authorize Quantum AMC, Quantum Mutual Fund to source my data / documents / information specimen signature from third party / KRA and Quantum Mutual Fund, Quantum AMC has the right to use the same / specimen signature for validation to process any future transactions that are submitted by me / us; besides Quantum Mutual Fund / Quantum AMC can further insist on seeking verification of my signature by my / our default bank. I/We hereby, further agree that the Fund can directly credit all the dividend payouts and redemption amount to my bank details given above. I/We hereby declare that the particulars above are correct. I/We further agree not to hold Quantum Mutual Fund liable for any consequences in case of any of the above particulars being false, incorrect or incomplete. I/We hereby undertake to promptly inform Quantum Mutual Fund of any changes to the information provided hereinabove and agree and accept that Quantum Mutual Fund, their authorized agents and representatives are not liable or responsible for any loss, costs, damages arising out of any actions undertaken or activities performed by them on the basis of information provided by me/us as also due to not intimating/delay in intimating such changes. I/We hereby authorize Quantum Mutual Fund to disclose, share, remit in any form, mode or manner, directly to them or indirectly through any entity, the information provided by me to any Regulatory Authority (ies) including Financial Intelligence Unit, India (FIU-IND) including all changes, updates to such information as and when provided by me without any obligation of advising me/us of the same. I/We hereby authorize Quantum AMC to verify/validate with my / our Bankers or with any entity/source, the bank account details provided by me/us in the initial /additional subscription as well as any subsequent multiple bank mandate registrations submitted by me/us while investing in Schemes of Quantum Mutual Fund. I/We have read the contents of the SAI, SID, KIM, which is for informational purposes only and does not have any regard to my / our specific investment objectives, financial situation or my / our particular needs. I/We have understood that the past performance of any fund or manager/ sub-manager of the fund are not necessarily indicative of future performance. Opinions and any other contents which are provided by Quantum Mutual Fund are for personal use and informational purposes only and are subject to change without notice. I/We hereby confirm that nothing contained in the SAI, SID, KIM or website constitutes investment, legal, tax or other advice nor is it to be relied on while making an investment or other decision. I/We hereby confirm that descriptions or questions answered by me/us in the questionnaire which is used to understand my profile are fair, clear and not misleading. I/We also confirm that all investments made by me either on my own and / or on the advice of the relationship manager are after evaluating my/our investment objective and analyzing my/our risk profile and have been explained all the features of the scheme(s) to my/our satisfaction. I/We have understood the nature and risk of the products selected for my/our investments based on my investment objective/s and financial situation as provided by me/us. I/We hereby confirm that purchase of units of any particular scheme either independently and / or if and whenever a recommendation is given to me/us to purchase a particular scheme, it is based upon a reasonable assessment i.e. whether the structure and risk reward profile of the scheme is consistent with my experience, knowledge, investment objectives, risk appetite, time horizon for investment and capacity for absorbing loss. I/We hereby confirm that I have independently understood either on my own and / or through the AMC's relationship manager (if any) assigned to me/us who has disclosed all material information about the business, fund's history, the terms and conditions on which advisory services are offered (if any), affiliations with other intermediaries, any actual or potential conflicts of interest arising from any connection to or association with any issue of products/ securities, including any material information or facts that might compromise its objectivity or independence in carrying out of investment advisory services, key features of the products or securities, particularly, performance track record, transaction norms such as cut off time for subscription / redemption, TAT for redemption, activation of SIP/STP/SWP, NAV applicability, the expense ratio of the scheme(s), the exit load structure of each scheme as well as the exit load that will be charged and calculated on FIFO basis and such other information as is necessary so as to take a decision on investing and the services that will be provided in future. I/We am aware about the product label (color code), warnings, disclaimers in documents, advertising materials relating to an investment product which is/are recommended to me/us and Tax implications of my/our investment pertaining to the schemes of Quantum Mutual Fund as explained to me/us by my relationship manager. I/ We also confirm that the Scheme in which I / we have invested is appropriate for me / us keeping in mind my investment objective and my risk appetite and the investment objective and inherent risk of the Scheme. I / We also confirm that I / We have not been paid any incentive or have not been promised any assured returns while investing in the scheme(s). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. I/We hereby declare that I have understood the nature of questions in the KIM / application form and the importance of disclosing all the material information required and the facts disclosed in the application and the details provided by me/us in the Investor Awareness section are true and correct. I / We hereby agree and authorize Quantum AMC / Mutual Fund to provide my / our Personal / Investment(s) details to intermediaries by ways of feeds or such other means / medium for my / our investment that are routed / executed by me / us through the intermediaries. I/We am also aware of the Grievance Redressal and Dispute Resolution policies and procedures at Quantum Mutual Fund and am aware of whom to contact in case of any discrepancies in understanding or otherwise.

Applicable to NRI only: I/We confirm that I am / we are Non Resident of Indian Nationality/Origin but not a person residing in Canada or a United States within the meaning of Regulation(s) under the United States Securities Act of 1933, as amended from time to time or of any country not compliant under the FATF Agreements and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my/our Non-Resident External/Ordinary Account/FCNR Account. (Including amount of Additional Purchase Transaction made in future). I/We authorize this fund to reject the application, revert the units credited/redeem units created at applicable NAV (less exit load, if any), restrain me/us from making any further investment in any of the schemes of the fund, in case I/we have not provided details of me/us being resident of Canada or USA or any country not compliant under the FATF Agreements either at the time of investment or subsequently.

Repatriation Non Repatriation Date Place _____

Signature(s)

Sole/1st Applicant/Guardian / Authorised Signatory	POA Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory
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CHECKLIST (Please submit the following documents with your application (where applicable). For Investors other than Individuals please also submit declaration of Beneficial Ownership (as applicable) as per format available on www.QuantumMF.com All documents should be original/true copies certified by a Director/Trustee /Company Secretary /Authorised signatory /Notary Public.)

Documents	Individual	HUF	Companies/LLP	Societies	Partnership Firms	Investment through POA	Trusts	NRI	FIs*	PIO	FFI [#]
Resolution/ Authorisation to invest			✓	✓	✓		✓		✓		✓
List of authorised signatories with specimen signatures				✓	✓	✓	✓		✓		✓
Memorandum & Articles of Association			✓								
Trust Deed							✓				
Declaration of Ultimate Beneficial Ownership		✓		✓	✓		✓		✓		✓
Bye-laws				✓							
Partnership Deed					✓						
Certificate of Registration									✓		✓
Notarised POA						✓					
Copy of PAN Card	✓	✓	✓	✓	✓	✓	✓	✓	✓		✓
KYC Compliance	✓	✓	✓	✓	✓	✓	✓	✓	✓		✓
PIO Card										✓	
Foreign Inward Remittance Certificate										✓	
Trigger Form (if applied)	✓		✓	✓	✓	✓	✓	✓	✓	✓	✓
Declaration under FATCA	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓

* For FIs copy of SEBI registration certificate should be provided. # Certificate of registration granted by designated depository participants on behalf of SEBI.

Quantum Asset Management Company Pvt. Ltd.

Mumbai:

505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400021.

Ahmedabad:

BSQUARE Office Solutions, 6th Floor Shree Krishna Centre, Nr. Mithakhali Six Roads, Navrangpura,
Ahmedabad - 380009



WEBSITE

www.QuantumMF.com



EMAIL

CustomerCare@QuantumAMC.com



TOLL FREE HELPLINE

1800 22 3863 / 1800 209 3863